The effect of dynamic recrystallization on olivine crystal preferred orientations in mantle xenoliths deformed under varied stress conditions

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A B S T R A C T

Spinel peridotite xenoliths from Persani Mountains, Southeastern Carpathians, Romania, were submitted to deformation by dislocation creep accompanied by dynamic recrystallization under variable stress and temperature conditions. Predominance of low-angle boundaries parallel to (100) with well-defined [0vw] rotation axes in olivine indicates that subgrain rotation is the main recrystallization mechanism and that dislocation glide occurs mainly in [100]{0kl} systems. Analysis of olivine crystal preferred orientations highlights that recrystallization results in dispersion of the orientations of recrystallized grains relatively to the parent grains. This dispersion may be quantified by a dimensionless dispersion factor defined as the ratio of the random component of the [100] axis distributions between the recrystallized grains and porphyroclasts. This factor is largely independent from the overall fabric strength, the number of grains analyzed, and the recrystallized grain size. The olivine CPO strength and anisotropy at the rock scale, quantified by $J$-index, are largely controlled by the volume of recrystallized grains, which depends on finite strain. Comparison of olivine CPO in coarse- and fine-grained porphyroclastic peridotites shows that deviatoric stress and temperature conditions play nevertheless a role on the CPO evolution during recrystallization; selective grain growth, which is favored at high temperature and low stresses, does counteract the dispersion produced by the nucleation processes.

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1. Introduction

Crystal preferred orientation (CPO) of olivine in the upper mantle results in anisotropy of many physical properties, such as seismic velocities, thermal diffusivity, electric conductivity, and plastic deformation (e.g., Kobayashi, 1974; Nicolas and Christensen, 1987; Mainprice et al., 2000; Tommasi and Vauchez, 2001; Tommasi et al., 2001, 2009; Gatzeumeier and Tommasi, 2006). The evolution of olivine CPO in upper mantle rocks is essentially controlled by plastic deformation through crystal reorientation during intracrystalline deformation by dislocation glide (Nicolas et al., 1973; Takeshita et al., 1990; Wenk et al., 1989; Tommasi et al., 2000). It may, however, be modified by recrystallization processes: nucleation, subgrain rotation, and grain boundary migration (GBM). These processes may either strengthen or weaken the CPO (e.g., Carter, 1976; Tommasi et al., 2000) or even, as it has been observed in simple shear experiments, modify the symmetry of the CPO relative to the imposed deformation, leading to parallelism between the dominant slip system and the macroscopic shear (Karato, 1988; Zhang and Karato, 1995; Bystricky et al., 2000). Experimental data for periclase at very high shear strains suggest that recrystallization may even result in a complete change in the CPO (Heidelbach et al., 2003). The final CPO depends on which process predominates, which in turn depends on several factors, such as temperature, deviatoric stress, strain rate, deformation regime, presence of fluids/melts, etc.

Laboratory experiments, although largely contributing to the understanding of the interactions between different processes during deformation and recrystallization, are orders of magnitude away in strain rate and stress from the conditions of natural deformation processes. Dynamic recrystallization is a time (or strain rate) dependent process, since it is controlled by the interplay between dislocation glide, which results in enhancement in...
the grains’ internal energy due to accumulation of dislocations, and diffusive processes that allow reorganization and reduction of the dislocation population in a crystal. To investigate the effect of dynamic recrystallization on the microstructure and olivine CPO under geological strain rates, we performed detailed microstructural observations and CPO measurements in a series of mantle xenoliths from the Southeastern Carpathians. These xenoliths are spinel peridotites that record deformation by dislocation creep under a range of temperature, stress and strain rate conditions, which resulted in a wide variety of microstructures. Two-pyroxenes thermometry indicates that they equilibrated at temperatures ranging between 1020 °C for the coarser-grained ones and 850 °C for the finest-grained mylonites; conversion of these equilibrium temperatures into depths based on the local geotherm implies that these xenoliths sample a 35–55 km depth section of the lithospheric mantle in a convergent continental margin (Falus et al., 2008).

2. Microstructures

Several hundreds of mantle xenoliths have been collected from basalt eruption localities of the Persani Mountains in the southern Carpathians (Fig. 1). These xenoliths are mostly coarse-grained spinel peridotites, but mylonitic and ultramylonitic textures are observed in ~15% of the sampled xenoliths. Twenty-seven xenoliths representative of the entire textural range have been selected for study. Microstructures were characterized by optical analysis of polished thin sections perpendicular to the foliation and subparallel to the lineation (XZ sections). In a few coarse-grained samples, the foliation and lineation could not be determined macroscopically and thin sections were cut in a random orientation.

The studied mantle xenoliths present a continuous gradation from coarse-grained porphyroclastic to fine-grained mylonitic textures (Fig. 2). Coarse porphyroclastic xenoliths are characterized by olivine and pyroxene porphyroclasts ranging from 500 to 3000 μm in diameter and displaying sutured grain boundaries. These porphyroclasts are generally weakly elongated with aspect ratios of 1:2. This elongation marks the lineation in these xenoliths, whereas a weak compositional layering and moderately flattened grain shapes indicate the foliation. Olivine porphyroclasts exhibit well-developed and widely spaced subgrain boundaries, dominantly parallel to the (100) crystallographic plane (Fig. 2). Recrystallized olivine grains, when they can be clearly identified, are relatively coarse (300–500 μm) and more equidimensional. However, the most coarse-grained samples show a continuous variation in grain size that hinders the distinction between porphyroclasts and recrystallized grains. Orthopyroxene porphyroclasts have irregular shapes, not showing any clear elongation. Small interstitial orthopyroxene forming dispersed aggregates aligned in the foliation are observed in some samples, contributing to the compositional layering. Clinopyroxenes are generally finer grained (500–1000 μm) and often display interstitial shapes.

Mylonitic peridotites are characterized by an increase in the volume of recrystallized grains and a decrease in recrystallized grain size, which ranges from 80 to 300 μm in the coarser mylonites to 40–60 μm in the most recrystallized peridotites (Fig. 2). The grain size of olivine porphyroclasts does not vary significantly relatively to the coarse-grained peridotites. However, the porphyroclasts are more elongated (aspect ratios in the XZ plane range from 1:3 to 1:10). This gives rise to a more intense lineation and foliation in these xenoliths. Their

Fig. 1. (a) Geological map of the Carpathian–Pannonian Region showing the limits between the major tectonic units (after Cocontos, 1995), the extent of Neogene calc-alkaline formations, the existing shear wave splitting measurements in the Eastern Carpathians (Ivan et al., 2008), and the location of the Persani Mountains alkaline volcanics. Thick black lines delineate distinct lithospheric blocks in the substratum of the Carpathian–Pannonian Region. (b) Simplified geological map of the Persani Mountains, indicating the different eruption products (after Panaiotu et al., 2004). Mantle xenoliths have essentially been collected in Trestia Valley (NV) and Barc (BC, BGT).
Fig. 2. EBSD maps displaying typical microstructures of the studied xenoliths. From top to bottom, microstructures range from coarse-grained porphyroclastic to fine-grained mylonitic microstructures; note the gradual decrease in average recrystallized grain size and increase in the volume of recrystallized grains. Left column: modal composition.
internal deformation is strong with undulose extinction and finely paced subgrain boundaries, sometimes forming a mosaic substructure. Recrystallized grains often display evidence for internal strain and are elongated subparallel to the lineation defined by the porphyroclasts’ elongation. Grain boundaries of both olivine porphyroclasts and recrystallized grains are usually sutured, except for the finest grains in the mylonites, which tend to be more polygonal with gently curved boundaries. Enstatite porphyroclasts are rich in exsolution lamellae and often kinked. They may be either extremely elongated or irregularly shaped with abundant concave embayments; the latter show no shape
preferred orientation. Fine-grained intergranular orthopyroxene and clinopyroxene also occur as small crystals dispersed in the recrystallized matrix; these crystals usually have irregular, interstitial-like shapes and form dispersed aggregates forming an anastomosing banding subparallel to the foliation. These fine-
grained pyroxene aggregates are not directly associated with the porphyroclasts. Orthopyroxene porphyroclasts, even the most elongated ones, do not show fine-grained recrystallization tails.

Re crystallized grain sizes in the studied mantle peridotites were estimated using grain size data acquired through EBSD mapping (Fig. 2). Use of the inflection point of the grain size frequency curves enabled distinction of recrystallized grains from porphyroclasts, even in the coarse-grained xenoliths, which show a more continuous grain size distribution. Average recrystallized grain size of the peridotites varies continuously from 40 μm in the finest-grained mylonites to 500 μm in the coarser-grained porphyroclastic peridotites. Paleostress values estimated using these average recrystallized grain sizes range from 7 to 12 MPa in the coarse-grained samples to 62–75 MPa in the fine-grained mylonites; lower values are estimated using the piezometer of Van der Wal et al. (1993) whereas higher ones are derived applying the piezometer of Karato et al. (1993). Recrystallized grain sizes are directly correlated with equilibrium temperature (Falus et al., 2008). Although the finer grain sizes in the mylonites may allow for lower closure temperatures of the diffusion systems on which the thermometres are based; this correlation is consistent with deformation of the mylonites at lower temperatures and higher deviatoric stresses and/or strain rates (Austin and Evans, 2007).

3. Olivine crystal preferred orientations

Olivine and pyroxene crystal preferred orientations (CPO) were determined at Geosciences Montpellier using a JEOL 5600 scanning electron microscope equipped with an EBSD system from Oxford HKL Technology. Accelerating voltage of 17 kV and 25 mm working distance were used. The sample stage was tilted to 70°. Data were acquired and treated using the Channel 5 software package. Automatic orientation mapping was performed in a ≈ 10 × 20 mm area of the studied xenoliths (large areas were mapped in the coarse-grained peridotites). Depending on grain size, a step size between 10 and 100 μm was used (the step size was set to be always 4–5 times smaller than the average recrystallized grain size).

In all studied xenoliths, olivine displays clear crystallographic preferred orientations (Fig. 3), which indicate that dislocation creep was the principal deformation mechanism. Olivine [100] axes form a single maximum aligned with the lineation defined by the elongation of the porphyroclasts. The [010] axes form a girdle normal to the lineation with a maximum normal to the foliation and [001] axes tend to be perpendicular to the lineation in the foliation plane or to form a girdle at high angle to the lineation. The orientation distribution of the olivine crystal axes is characteristic of deformation by slip on [100]{0kl} systems with dominant activation of (010) planes. This interpretation, which was already proposed in early studies of olivine fabrics (e.g., Avé Lallement and Carter, 1970), is confirmed by numerical simulations of CPO evolution in olivine polycrystals that allow direct correlation of slip systems activity to the CPO (e.g., Wenk et al., 1989; Takeshita et al., 1990; Tommasi et al., 2000).

Analysis of the olivine CPO variation in the entire xenolith collection shows that coarse-grained peridotites tend to show stronger CPO than the mylonites (Fig. 3). Comparison between CPO of olivine porphyroclasts and recrystallized grains within a sample highlights that the orientations are similar: maximum and minimum concentrations clearly coincide, but the recrystallized grains CPO has a higher dispersion. This suggests that recrystallization leads to a decrease in CPO strength.

A standard parameter for measuring the strength of CPO is the J-index. It represents the volume-averaged integral of the squared orientation densities, which is sensitive to peaks in the orientation distribution function (Bunge, 1982). For a random distribution J = 1 and for a single crystal (perfect CPO) J is infinite. Natural samples of mantle peridotites display olivine J-index (Jol) in the range 2–25, with a peak at 8–10, and only a few have Jol > 20 (Ben Ismail and Mainprice, 1998; Tommasi et al., 2000). The J-index of olivine CPO in the studied xenoliths has been calculated using the program SuperJ7x by D. Mainprice (http://www.gm.univ-montp2.fr/mainprice/CareWare_Unicef_Programs/) with a 10° Gaussian half-width, data at 1° bins, and truncation of the orientation distribution function (ODF) at degree 22. It ranges between 3.5 and 11, if it is calculated using one measurement per pixel of the EBSD map. Lower values, between 1.8 and 7, are obtained using one average orientation per grain (Fig. 4a). This difference results from the large area occupied by the coarse porphyroclasts that have stronger CPO (Fig. 3). The lowest bulk J-indices are observed in the xenoliths with finest recrystallized grain sizes and the highest J-indices correspond to the coarse porphyroclastic peridotites, suggesting a relation between recrystallized grain size and fabric strength (Fig. 4a).

4. Misorientation data

The activation of specific slip systems is expected to produce not only distinct crystallographic preferred orientations, but also
grain misorientation relations within and between adjacent grains, since the rotation across low-angle grain boundaries is directly related to the dislocations that form the boundary (Amelinckx and Dekeyser, 1959). The rotation axes that accommodate these misorientations give therefore an indication of the slip systems active during deformation (Poirier and Nicolas, 1975; Darot and Gueguen, 1981; Fliervoet and White, 1995; Lloyd et al., 1997).

Strong predominance of low angles (<10°) in the correlated misorientation distribution, that is the variation in orientation between neighboring pixels, suggests that dynamic recrystallization is controlled by subgrain rotation in all samples (Fig. 5). Rotation axes accommodating intracrystalline misorientations, both diffuse or at low-angle grain or subgrain boundaries (<15°), are quite uniform throughout the whole xenolith suite studied (Fig. 3); they are systematically close to [0VW] orientations, with clear maxima around [001] and [010]. This, together with the observation that the trace of the subgrain boundary planes is systematically normal to [010], implies that subgrain walls are mostly formed by dislocations of [100] slip systems. “Pencil glide”, i.e., activation of various slip systems sharing a common Burgers vector, results indeed in formation of low-angle tilt boundaries normal to the Burgers vector (Amelinckx and Dekeyser, 1959). The misorientation across the boundary is controlled by the relative density of the dislocations of each system. In olivine, for instance, predominance of [100][010] or [100][001] edge dislocation results in [001] or [010] rotation axes, respectively. In the studied peridotites, we observe either similar densities for [010] and [001] rotation axes or a predominance of [010] (Fig. 5), suggesting that slip on [001] planes dominates. This observation is nevertheless in contrast with the CPO data, which shows a preferential orientation of [010] normal to the foliation and of [001] in the foliation plane normal to the lineation, implying preferential activation of the [010][100] slip system. This partial inconsistency between olivine CPO and misorientation data has also been observed in other peridotite suites (Tommasi et al., 1999; Soustelle et al., 2010). It suggests that dislocations frozen in subgrain boundaries may not be fully representative of the slip systems activity. Finally, in the mylonites, rare subgrain boundaries with a trace normal to [001] are also observed, forming a polygonal subgrain structure and marking the activation of [011] slip systems. This is corroborated by the observation of low-angle rotations with more varied orientations, although orientations close to [0VW] still dominate.

5. Discussion

The similarities in crystal preferred orientation patterns between recrystallized and porphyroclastic grains (Fig. 3) imply that the recrystallization process is crystallographically-controlled. This, together with the high proportion of low-angle grain boundaries and their consistent rotation axes (Figs. 3 and 5), suggests that subgrain rotation is the dominant nucleation process, but we cannot exclude a contribution of grain boundary migration to nucleation (bulging). The latter process probably plays a more important role in the mylonites as suggested by the more dispersed low-angle rotation axes (Fig. 3) and by the stronger variations in orientation between porphyroclasts and neighboring crystals (Fig. 2). In addition, the more homogeneous grain size distribution, the less elongated shapes of the porphyroclasts, and the highly sutured shapes of the grain boundaries in the coarse porphyroclastic peridotites (Fig. 2) suggest that in these samples, which were probably deformed at higher temperature and lower deviatoric stresses, subgrain rotation recrystallization is accompanied by effective grain boundary migration, allowing for selective growth of crystals with low stored energy.

To further characterize the effect of recrystallization on the olivine CPO, we analyzed the variation of the [100], [010], and [001] axes distribution symmetry between porphyroclasts and recrystallized grain populations in each sample using the point (P), girdle (G) and random (R) fabric type indexes (Vollmer, 1990). These indexes are calculated from the eigenvalues (λ1, λ2, λ3) of the normalized orientation matrix (Woodcock and Naylor, 1983; Humbert et al., 1996) for each principal crystallographic axis: P = λ1 − 1, G = (λ1 + λ2 + λ3)/2, and R = 3 × λ3, respectively. The results, presented in Fig. 6a, show a clear shift from medium to high P values (indicating point distributions) for the porphyroclasts toward medium/high R values (random distribution of crystal axes) for the recrystallized grains for both [100] and [010] axes. This shift is most obvious for [100] as illustrated by the relation between the distribution symmetry of porphyroclasts and recrystallized grains in each sample (Fig. 6b). The stronger variation in orientation of the [100] axes relatively to [010] and [001] results directly from the dominant activation of [100][0kl] slip systems during deformation. Dislocation glide on these systems results in a stronger concentration of [100] relative to the other crystal axes (Tommasi et al., 2000). On the other hand, organization of [100][0kl] dislocations in low-angle boundaries results in dispersion of the CPO by rotation along [0VW] axes, mainly [010] and [001], as observed in the present study (Fig. 3). Thus [100] is expected to be more sensitive to the subgrain rotation recrystallization processes than [010] or [001]. Shift of [100] axis toward higher G values, is observed only in sample NV128. This change from a point to a girdle distribution is consistent with a single rotation axis, which indicates activation of single slip. However, this conclusion is not fully consistent with the misorientation data for this sample that shows that low-angle boundaries with [010] and [001] rotation axes are almost equally common (Fig. 3).

Comparison between the randomness of [100] axes for porphyroclasts and recrystallized shows, however, a weak positive correlation between Rg and Rp (Fig. 7). The strength of recrystallized grains orientation depends therefore on the porphyroclasts’ one (the original CPO). This further demonstrates the crystallographic control on nucleation, i.e., orientations of the recrystallized grains are derived from the porphyroclasts, corroborating the major contribution of subgrain rotation to recrystallization. Closer analysis of the orientation maps (Fig. 2) shows, however, that the mylonites are characterized by stronger variations in orientation between porphyroclasts and neighboring grains, suggesting that subgrain rotation is not the sole nucleation process in these samples.

Since the strongest effect of recrystallization is a change in symmetry of the [100]-axes distribution between porphyroclasts and recrystallized grains populations (Fig. 6), we propose that the dispersion of [100] axes, calculated as D = Rg/Rp, that is, the ratio between the random component of the [100] axis distribution of recrystallized grains and porphyroclasts (calculated using the same number of measurements taken in a random order from the total orientation distribution to ensure a weak dependence of the number of grains analyzed), may be used to quantify the “randomization” (dispersion) of crystal axes during recrystallization. Dispersion values for [100] axes are comprised between 1 and 2.1. The highest value probably represents the maximum dispersion that can be developed through subgrain rotation recrystallization. However, there is neither a relation between recrystallized grain size and the dispersion of [100] nor between the J-index of the sample and the dispersion of [100]...
Fig. 3. Olivine crystal preferred orientations in the studied samples. Left column: sample bulk CPO (porphyroclasts and recrystallized grains). 1 measurement per grain, N is the number of grains measured, J is the J-index that characterizes the CPO strength; full line marks the foliation plane and the lineation is indicated by a star. Middle column: porphyroclasts (upper plot) and recrystallized grains (lower plot) CPO, symbols as in the bulk sample data. Right column: inverse pole Figures of rotation axes accommodating low angle (2−15°) misorientations. Lower hemisphere equal area projections, contours at 0.5 multiples of a uniform distribution intervals for all plots.
Fig. 3. (continued).
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This suggests that deviatoric stresses and strain rates, which are the primary factor controlling recrystallized grain size (Austin and Evans, 2007), do not influence the dispersion of [100] axes. This observation is in contradiction with experimental results, which showed that at similar finite strains olivine aggregates deformed at higher stresses displayed weaker CPO (Zhang et al., 2000). This disagreement is most likely due to the predominance of subgrain rotation as the main nucleation mechanism in our samples, as in most naturally deformed peridotites, over bulging (grain boundary migration) that was observed to be an important nucleation mechanism in the experiments (Zhang et al., 2000). Independence of the dispersion of [100] axes from recrystallized grain size also suggests that grain growth is not orientation sensitive. This may imply that, at low stress and relatively high temperature conditions, beside dynamic factors, like variations in dislocation...
density between neighboring grains, grain boundary area 
reduction may also be an important driving force for grain 
growth.

Although the dispersion of [100] axes does not depend on 
the recrystallized grain size there is an obvious positive 
correlation between the CPO strength, characterized by the 
$J$-index, and the recrystallized grain size (Fig. 4). A similar 
variation in CPO strength with recrystallized grain size has 
also been shown for mantle rocks from shear zones in the Oman 
ophiolite (Michibayashi et al., 2006) and in the Lanzo 
massif in the Alps (Kaczmarek and Tommasi, 2011), where the 
coarse-grained domains on the edge of the shear zone show 
much stronger olivine CPO than the mylonites from the central 
part of the shear zone.

Which factors do control the fabric strength in a rock 
deforming by an association of dislocation glide and dynamic 
recrystallization? One important aspect, discussed earlier, is 
the crystallographic control of porphyroclasts (parent grains) 
on the orientation of the nucleating grains. This implies that the 
CPO strength of recrystallized grains (formed by subgrain rotation 
recrystallization) in a rock with originally strong CPO is expected 
to be higher than that of a rock displaying an originally weaker 
CPO. Another important factor is the recrystallized rock volume, 
which shows a negative correlation with the CPO strength 
(Fig. 9). Finite strain is suggested to be the most important
factor determining the volume of recrystallized grains at intermediate temperature and stress conditions prevailing in the upper levels of the lithospheric mantle, which are the conditions sampled in both the present study and Michibayashi et al. (2006). However, under high-temperature, low-stress conditions as those that prevail at the base of the lithosphere and in the asthenosphere, fast growth rates of the deformation-free recrystallized grains, which slow as these “new” grains start to deform, probably result in a continuous grain size distribution and in maintenance of low “recrystallized” volume fractions, which will lead in turn to stronger steady-state CPO. We suggest therefore that the impact of strain rate, stress, and temperature on the CPO strength is directly linked to their effect on the recrystallized volume fraction.

Fig. 6. (a) Point-Girdle-Random components of the [100], [010] and [001] axes distributions in the studied peridotites. (b) Variation of the [100] axis distribution between porphyroclasts and recrystallized grain populations in each sample. Solid lines link porphyroclasts-recrystallized grain populations belonging to the same sample, these values are used for the calculation of the [100] dispersion (see Fig. 8 and text for discussion). Dashed lines connect porphyroclasts-recrystallized grain populations with a strong variation in the G value, the latter were excluded from the [100] dispersion calculation. Values were plotted using TRI-PLOT spreadsheet in Microsoft Excel, written by Graham and Midgley (2000).


1538
6. Conclusions

Detailed analysis of the deformation microstructures and crystal preferred orientations of a series of mantle xenoliths derived from a 20 km-deep section in the shallow subcontinental lithosphere and recording deformation under varied temperature, stress and strain rate conditions allowed quantifying the effects of intracrystalline deformation and recrystallization on the strength of olivine crystal preferred orientations and hence on the anisotropy of physical properties in the upper mantle.

There is a strong crystallographic control by the deformation CPO, characterized by the porphyroclasts orientations, on the crystallographic orientation of the recrystallized grains, suggesting that subgrain rotation is the dominant nucleation mechanism over a large range of strain rates, deviatoric stresses, and temperatures. This implies that strain-induced olivine CPO may be weakened, but not erased by dynamic recrystallization. Subgrain rotation recrystallization results in a stronger dispersion of olivine [100] than of [010] or [001], because dominant activation of [100] slip systems leads to formation of a large number of [100] tilt boundaries.

Although deviatoric stress and strain rate conditions control recrystallized grain sizes, they have no effect on the dispersion of olivine [100] axes with respect to the parent grains orientation. A positive correlation between recrystallized grain sizes and the strength of olivine CPO is nevertheless observed. The higher dispersion of the olivine CPO in the mylonites may be attributed to a more important contribution of bulging processes to nucleation, which allows for higher misorientations between parent and recrystallized grains. Stronger olivine CPO in the coarse porphyroclastic peridotites may also be favored by more effective grain boundary migration allowing for selective growth of crystals in easy glide orientations in those samples deformed at higher temperatures. Finally, although the studied samples present no clear evidence for grain boundary sliding, this process might also contribute to a higher dispersion of the CPO in the finest-grained samples.

As the anisotropy of physical properties depend on the relative volume of the different crystal orientations, the most important factor controlling the strength of olivine CPO and hence the anisotropy in the shallow lithospheric mantle is the recrystallized volume, which depends primarily on the finite strain. However, strain rate and temperature conditions also play a role via the
interplay between deformation, nucleation, and growth rates. Deformation under low temperature, high-stress conditions that prevail in the shallow lithospheric mantle should result therefore in weaker olivine CPO and hence in lower anisotropy than deformation under deep lithospheric or asthenospheric conditions.

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